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August 28, 2000

**BOX: PATENT APPLICATION**

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Re: Application of Yasukazu NIHEI and Masayuki NAYA  
**OPTICAL WAVELENGTH CONVERTING DEVICE AND PROCESS FOR PRODUCING THE SAME**  
Our Reference: Q58716

Dear Sir:

Attached hereto is the application identified above including the specification, claims, executed Declaration and Power of Attorney, five (5) sheets of drawings, two (2) Priority Documents, Information Disclosure Statement and PTO Form 1449 with references, executed Assignment and PTO Form 1595.

The Government filing fee is calculated as follows:

Total Claims	46 - 20 =	26 x \$18 =	\$ 468.00
Independent Claims	7 - 3 =	4 x \$78 =	\$ 312.00
Base Filing Fee	(\$690.00)		\$ 690.00
Multiple Dep. Claim Fee	(\$260.00)		\$ 260.00
<b>TOTAL FILING FEE</b>			<b>\$1,730.00</b>
Recordation of Assignment Fee			\$ 40.00
<b>TOTAL U.S. GOVERNMENT FEE</b>			<b>\$1,770.00</b>

Checks for the statutory filing fee of \$ 1,730.00 and Assignment recordation fee of \$ 40.00 are attached. You are also directed and authorized to charge or credit any difference or overpayment to Deposit Account No. 19-4880. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. 1.16 and 1.17 and any petitions for extension of time under 37 C.F.R. 1.136 which may be required during the entire pendency of the application to Deposit Account No. 19-4880. A duplicate copy of this transmittal letter is attached.

Priority is claimed from:

Japanese Patent Application

(patent) 241062/1999  
(patent) 293802/1999

Filing Date

August 27, 1999  
October 15, 1999

Since the anniversary of the priority date fell on a Sunday, the filing of this application on Monday, August 28, 2000, is sufficient to obtain the benefit of priority.

Respectfully submitted,  
**SUGHRUE, MION, ZINN, MACPEAK & SEAS**

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OPTICAL WAVELENGTH CONVERTING DEVICE  
AND PROCESS FOR PRODUCING THE SAME

BACKGROUND OF THE INVENTION

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Field of the Invention

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This invention relates to an optical wavelength converting device for converting a fundamental wave into its second harmonic, or the like. This invention particularly relates to an optical wavelength converting device having a periodic domain inversion structure. This invention also relates to a process for producing the optical wavelength converting device. This invention further relates to a solid laser for converting a produced laser beam into its second harmonic by the utilization of the optical wavelength converting device and radiating out the second harmonic.

Description of the Related Art

A technique, wherein a fundamental wave is converted into its second harmonic by the utilization of an optical wavelength converting device having a periodic domain inversion structure has been proposed by Bleombergen, et al. in Phys. Rev., Vol. 127, No. 6, 1918 (1962). The periodic domain inversion structure is provided with regions, in which spontaneous polarization (domain) of a ferroelectric substance having nonlinear optical effects is inverted periodically. With the proposed technique, phase matching

between a fundamental wave and its second harmonic can be effected by setting such that a period  $\Lambda$  of the domain inversion regions may be integral multiples of a coherence length  $\Lambda_c$ , which may be represented by Formula (1) shown below.

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$$\Lambda_c = 2\pi / \{\beta(2\omega) - 2\beta(\omega)\} \quad \dots (1)$$

in which  $\beta(2\omega)$  represents the propagation constant of the second harmonic, and  $\beta(\omega)$  represents the propagation constant of the fundamental wave.

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In cases where wavelength conversion is performed by using a bulk crystal of a nonlinear optical material, the wavelength at which the phase matching is effected is limited to a specific wavelength that is inherent to the crystal. However, with the proposed technique, the phase matching can be effected efficiently by selecting the period  $\Lambda$  of the domain inversion regions, which period satisfies Formula (1), with respect to an arbitrary wavelength.

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One of techniques for forming the periodic domain inversion structure described above has been proposed in, for example, Japanese Unexamined Patent Publication No.

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7(1995)-72521. With the proposed technique for forming the periodic domain inversion structure, after a periodic electrode in a predetermined pattern is formed on one surface of a single-polarized ferroelectric substance having nonlinear optical effects, an electric field is applied through corona charge across the ferroelectric substance by

the utilization of the periodic electrode and a corona wire,  
which is located on the surface side of the ferroelectric  
substance opposite to the one surface of the ferroelectric  
substance, and regions of the ferroelectric substance which  
5 stand facing the periodic electrode are thereby set as local  
area limited domain inversion regions.

A different technique for forming the periodic  
domain inversion structure described above has been proposed  
in, for example, Japanese Unexamined Patent Publication No.  
10 4(1992)-335620. With the proposed technique for forming the  
periodic domain inversion structure, an entire-area electrode  
is formed on a surface of a ferroelectric substance on the  
side opposite to a surface on which a periodic electrode in  
a predetermined pattern is formed, an electric field is applied  
across the ferroelectric substance by the utilization of the  
entire-area electrode and the periodic electrode, and local  
area limited domain inversion regions are thereby formed.

As a technique for forming the periodic electrode,  
a technique, wherein ridge regions having predetermined  
20 shapes in a predetermined pattern are formed on one surface  
of a ferroelectric substance, and electrode fingers of a  
periodic electrode are formed on the surfaces of the ridge  
regions, has been proposed in, for example, Japanese  
Unexamined Patent Publication No. 10(1998)-170966.

25 In cases where the periodic domain inversion

structure is formed by the utilization of the periodic electrode in the manner described above, particularly as for a z-cut ferroelectric substance plate, there is a strong possibility that, as the period of the periodic electrode is set to be short in order for a second harmonic, or the like, having a short wavelength to be generated, domain inversion regions, which are adjacent to each other and extend through the ferroelectric substance from the areas corresponding to electrode fingers of the periodic electrode, will become connected with each other.

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The problems described above will be described hereinbelow with reference to Figure 7. Figure 7 is a graph showing results of evaluation of periodicity of various bulk-form periodic domain inversion structures, each of which is formed in LiNbO<sub>3</sub>, doped with MgO (hereinbelow referred to simply as MgO-LN) by the utilization of a periodic electrode having an electrode line width (i.e., the line width of each of the electrode fingers of the periodic electrode) A, the evaluation being made with respect to various different values of a period  $\Lambda$  of domain inversion regions and various different values of a duty ratio D ( $D=A/\Lambda$ ). In Figure 7, the "O" mark indicates that the periodicity is good over a length of at least 1mm. The "Δ" mark indicates that the periodicity is good only over a length of less than 1mm or that the regions in which the periodicity is good occur sporadically. The "

"X" mark indicates that few regions in which the periodicity is good occur.

As shown in Figure 7, in order for good periodicity of the periodic domain inversion structure to be obtained,  
5 it is efficient to set the duty ratio D at a small value, i.e. to set the electrode line width A at a small value. Also, in cases where the period  $\Lambda$  of the domain inversion regions is at most  $7\mu\text{m}$ , it is necessary for the duty ratio D to be set at a value of at most 0.15. In cases where the domain inversion length is approximately 1mm, the duty ratio D should thus be set at a value of at most 0.15. In the cases of large areas (in cases where the domain inversion length is approximately 3 mm to 4mm), such that the inversion periodicity may be enhanced, the duty ratio D should be set at a value smaller than the value of at most 0.15.

In cases where the periodic domain inversion structure is formed by the utilization of the periodic electrode, each of the domain inversion regions is formed over a region slightly wider than the region corresponding to the  
20 electrode line width A due to the spread of the electric field. Therefore, even if the duty ratio D is set at a value smaller than 0.15, the periodic domain inversion structure can be formed, in which the ratio between the width of each domain inversion region and the width of each non-inversion region  
25 is approximately equal to 1:1.

In view of the above circumstances, in cases where  
a second harmonic, or the like, having a short wavelength  
falling within, for example, the blue region or the ultraviolet  
region is to be generated, it is necessary for a periodic  
5 electrode having a markedly small electrode line width A to  
be formed. However, heretofore, it was difficult to form a  
periodic electrode having a markedly small electrode line  
width A. Particularly, with respect to the optical wavelength  
converting device in which the periodic domain inversion  
10 structure is formed in the bulk form in a crystal of a Z-  
cut plate of MgO-LN, an example in which a second harmonic  
having a wavelength falling within the wavelength region of  
at most 470nm has not heretofore been reported. The term  
"periodic domain inversion structure in a bulk form in a  
15 crystal of a Z-cut plate" as used herein means the periodic  
domain inversion structure in which the domain inversion  
regions are formed over a range extending from a position in  
the vicinity of a +Z surface of the plate to a position in  
the vicinity of a -Z surface of the plate.

20 In cases where a second harmonic having a wavelength  
falling within the wavelength region of at most 470nm is to  
be generated with the aforesaid type of the optical wavelength  
converting device, if the electrode line width A of the  
periodic electrode employed for the formation of the periodic  
25 domain inversion structure is set at a value of at most 0.3μm,

a periodic domain inversion structure reliably having good periodicity over a wide area can be formed.

As techniques for forming a periodic electrode having a small electrode line width A, an EB drawing technique, 5 a FIB deposition technique, and the like, have heretofore been known. However, the conventional techniques for forming a periodic electrode having a small electrode line width A are not appropriate for large-area patterning and have a low throughput and a productivity markedly lower than the level of productivity required for mass production.

As a technique capable of coping with large-area patterning, a technique utilizing a contraction exposure apparatus has heretofore been known. However, the technique utilizing the contraction exposure apparatus has the drawbacks in that the cost of the contraction exposure apparatus is markedly high and it is difficult to obtain an electrode line width A shorter than the wavelength of exposure light.

#### SUMMARY OF THE INVENTION

The primary object of the present invention is to provide a process for producing an optical wavelength converting device, wherein a periodic electrode having a markedly small electrode linewidth is capable of being formed, and a bulk-form periodic domain inversion structure, in which 20 domain inversion regions are formed with a markedly short 25

period that has heretofore been impossible, is thereby capable of being formed.

Another object of the present invention is to provide an optical wavelength converting device having a bulk-form periodic domain inversion structure, in which domain inversion regions are formed with a markedly short period that has heretofore been impossible.

A further object of the present invention is to provide a solid laser, wherein the optical wavelength converting device is utilized, a produced laser beam is capable of being converted into its second harmonic having a markedly short wavelength, and the second harmonic is radiated out from the solid laser.

The present invention provides a first process for producing an optical wavelength converting device having a periodic domain inversion structure, in which a periodic electrode is formed on one surface of a single-polarized ferroelectric substance having nonlinear optical effects, and an electric field is applied across the ferroelectric substance by the utilization of the periodic electrode in order to set regions of the ferroelectric substance, which stand facing the periodic electrode, as local area limited domain inversion regions, the process comprising the steps of:

i) forming a photosensitive resist layer on the one surface of the ferroelectric substance, the resist layer

having properties such that, when light is irradiated to the resist layer, only exposed areas of the resist layer or only unexposed areas of the resist layer become soluble in a developing solvent,

5           ii) exposing the resist layer to near field light in a periodic pattern with means, which receives exposure light and produces the near field light in the periodic pattern,

             iii) developing the resist layer, which has been exposed to the near field light, to form a periodic pattern in the resist layer, and

             iv) forming the periodic electrode on the one surface of the ferroelectric substance by utilizing the periodic pattern of the resist layer as a mask, the periodic electrode being formed at positions corresponding to opening areas of the mask.

The present invention also provides a second process for producing an optical wavelength converting device having a periodic domain inversion structure, in which a periodic electrode is formed on one surface of a single-polarized ferroelectric substance having nonlinear optical effects, and an electric field is applied across the ferroelectric substance by the utilization of the periodic electrode in order to set regions of the ferroelectric substance, which stand facing the periodic electrode, as local area limited domain inversion regions, the process comprising

the steps of:

i) forming an electrode material layer on the one surface of the ferroelectric substance,

5 ii) forming a photosensitive resist layer on the electrode material layer, the resist layer having properties such that, when light is irradiated to the resist layer, only exposed areas of the resist layer or only unexposed areas of the resist layer become soluble in a developing solvent,

10 iii) exposing the resist layer to near field light in a periodic pattern with means, which receives exposure light and produces the near field light in the periodic pattern,

iv) developing the resist layer, which has been exposed to the near field light, to form a periodic pattern in the resist layer, and

15 v) etching the electrode material layer by utilizing the periodic pattern of the resist layer as an etching mask, such that portions of the electrode material layer at positions corresponding to opening areas of the mask are removed by the etching, whereby the periodic electrode 20 is formed.

The present invention further provides a third process for producing an optical wavelength converting device having a periodic domain inversion structure, in which a periodic electrode is formed on one surface of a single-polarized ferroelectric substance having nonlinear optical

effects, and an electric field is applied across the ferroelectric substance by the utilization of the periodic electrode in order to set regions of the ferroelectric substance, which stand facing the periodic electrode, as local area limited domain inversion regions, the process comprising  
5 the steps of:

i) forming a first resist layer and a second resist layer in this order on the one surface of the ferroelectric substance, the first resist layer being removable by etching, the second resist layer being photosensitive and having properties such that, when light is irradiated to the second resist layer, only exposed areas of the second resist layer or only unexposed areas of the second resist layer become soluble in a developing solvent,

10 ii) exposing the second resist layer to near field light in a periodic pattern with means, which receives exposure light and produces the near field light in the periodic pattern,

20 iii) developing the second resist layer, which has been exposed to the near field light, to form a periodic pattern in the second resist layer,

iv) etching the first resist layer by utilizing the periodic pattern of the second resist layer as an etching mask to form a periodic pattern composed of the first resist layer  
25 and the second resist layer, and

v) forming the periodic electrode on the one surface of the ferroelectric substance by utilizing the periodic pattern, which is composed of the first resist layer and the second resist layer, as a mask, the periodic electrode being formed at positions corresponding to opening areas of the mask.

The present invention still further provides a fourth process for producing an optical wavelength converting device having a periodic domain inversion structure, in which a periodic electrode is formed on one surface of a single-polarized ferroelectric substance having nonlinear optical effects, and an electric field is applied across the ferroelectric substance by the utilization of the periodic electrode in order to set regions of the ferroelectric substance, which stand facing the periodic electrode, as local area limited domain inversion regions, the process comprising the steps of:

i) forming an electrode material layer on the one surface of the ferroelectric substance,

ii) forming a first resist layer and a second resist layer in this order on the electrode material layer, the first resist layer being removable by etching, the second resist layer being photosensitive and having properties such that, when light is irradiated to the second resist layer, only exposed areas of the second resist layer or only unexposed

areas of the second resist layer become soluble in a developing solvent,

iii) exposing the second resist layer to near field light in a periodic pattern with means, which receives exposure light and produces the near field light in the periodic pattern,

iv) developing the second resist layer, which has been exposed to the near field light, to form a periodic pattern in the second resist layer,

v) etching the first resist layer by utilizing the periodic pattern of the second resist layer as an etching mask to form a periodic pattern composed of the first resist layer and the second resist layer, and

vi) etching the electrode material layer by utilizing the periodic pattern, which is composed of the first resist layer and the second resist layer, as an etching mask, such that portions of the electrode material layer at positions corresponding to opening areas of the mask are removed by the etching, whereby the periodic electrode is formed.

In the third and fourth processes for producing an optical wavelength converting device in accordance with the present invention, the second resist layer should preferably have a film thickness of at most 100nm. Also, the third and fourth processes for producing an optical wavelength converting device in accordance with the present invention

should preferably be modified such that the first resist layer is formed from a non-photosensitive material, and the etching performed for the first resist layer is dry etching.

5 In the first, second, third, and fourth processes for producing an optical wavelength converting device in accordance with the present invention, the exposure light should preferably have a wavelength falling within the range of 250nm to 450nm.

10 Also, the first, second, third, and fourth processes for producing an optical wavelength converting device in accordance with the present invention should preferably be modified such that the means, which receives the exposure light and produces the near field light in the periodic pattern, is a mask comprising a light-transmitting member, which is capable of transmitting the exposure light, and a metal pattern, which has opening areas and is formed on the light-transmitting member, the near field light being radiated out from the metal pattern, and

20 the mask comprising the light-transmitting member and the metal pattern is located such that the metal pattern is in close contact with the resist layer, which is laid bare on the ferroelectric substance, or the metal pattern is located close to the resist layer, which is laid bare on the ferroelectric substance, such that the near field light reaches the resist layer, which is laid bare on the

ferroelectric substance, the exposure light being irradiated to the mask comprising the light-transmitting member and the metal pattern in this state.

Further, the first, second, third, and fourth processes for producing an optical wavelength converting device in accordance with the present invention should preferably be modified such that the means, which receives the exposure light and produces the near field light in the periodic pattern, is an optical stamp constituted of a light-transmitting member, which is capable of transmitting the exposure light and has a concavity-convexity pattern formed on one surface, the optical stamp operating such that, when the exposure light is guided from within the light-transmitting member to the one surface of the light-transmitting member and is caused to undergo total reflection, the near field light in a pattern in accordance with the concavity-convexity pattern formed on the one surface of the light-transmitting member is radiated out, and

the optical stamp is located such that the one surface of the optical stamp provided with the concavity-convexity pattern is in close contact with the resist layer, which is laid bare on the ferroelectric substance, or the one surface of the optical stamp provided with the concavity-convexity pattern is located close to the resist layer, which is laid bare on the ferroelectric substance, such that the

near field light reaches the resist layer, which is laid bare on the ferroelectric substance, the exposure light being irradiated to the optical stamp in this state.

Furthermore, the first, second, third, and fourth processes for producing an optical wavelength converting device in accordance with the present invention should preferably be modified such that the means, which receives the exposure light and produces the near field light in the periodic pattern, is a probe provided with an opening having a diameter shorter than a wavelength of the exposure light, the probe being caused to scan on the resist layer, which is laid bare on the ferroelectric substance, the exposure light being irradiated to the probe in this state.

Also, in the first, second, third, and fourth processes for producing an optical wavelength converting device in accordance with the present invention, the ferroelectric substance should preferably be LiNbO<sub>3</sub> doped with MgO (MgO-LN). In such cases, the periodic electrode should preferably have an electrode line width of at most 0.3μm.

The present invention also provides a first optical wavelength converting device, comprising a crystal of a Z-cut plate of LiNbO<sub>3</sub> doped with MgO, domain inversion regions being formed periodically in a bulk form in the crystal, wherein the domain inversion regions are formed with a period falling within the range of 1.0μm to 4.6μm.

The present invention further provides a second optical wavelength converting device, comprising a crystal of a Z-cut plate of LiNbO<sub>3</sub>, doped with MgO, domain inversion regions being formed periodically in a bulk form in the  
5 crystal,

wherein the optical wavelength converting device is constituted to radiate out a wavelength-converted wave having a wavelength falling within the range of 320nm to 470nm.

The present invention still further provides a third optical wavelength converting device, comprising a crystal of a Z-cut plate of LiNbO<sub>3</sub>, doped with MgO, domain inversion regions being formed periodically in a bulk form in the crystal,

wherein the domain inversion regions are formed with a period falling within the range of 1.0μm to 4.6μm, and

the optical wavelength converting device is constituted such that, when a fundamental wave having a wavelength falling within the range of 640nm to 940nm impinges upon the optical wavelength converting device, the optical wavelength converting device radiates out a second harmonic having a wavelength falling within the range of 320nm to 470nm with the period of the domain inversion regions acting as a first-order period for pseudo-phase matching.

The present invention also provides a solid laser,  
25 comprising the first, second, or third optical wavelength

converting device in accordance with the present invention, the solid laser being constituted to convert a produced laser beam into its second harmonic and to radiate out the second harmonic.

With the processes for producing an optical wavelength converting device in accordance with the present invention, the photosensitive resist is exposed to the near field light, which oozes from the periodic pattern having a line width shorter than the wavelength of the exposure light, and the exposed resist is then developed. Therefore, a periodic electrode having an electrode line width of at most 100nm, i.e. a period of at most 200nm, can be formed. Thus a periodic electrode having a short electrode linewidth, which was impossible with conventional lithography, can be obtained.

Specifically, in cases where the periodic electrode is formed on the one surface of the ferroelectric substance by utilizing the periodic pattern of the resist layer as a mask, the periodic electrode being formed at the positions corresponding to the opening areas of the mask, the line width of each of the opening areas of the mask may be set at a value of at most 100nm.

In cases where the electrode material layer is formed on the one surface of the ferroelectric substance, the electrode material layer is etched by utilizing the periodic

pattern of the resist layer as the etching mask, such that  
the portions of the electrode material layer at the positions  
corresponding to the opening areas of the mask are removed  
by the etching, and the periodic electrode is thereby formed,  
5 the line width of each of the areas other than the opening  
areas of the mask (i.e., the line width of each of the areas  
remaining as the resist layer) may be set at a value of at  
most 100nm.

With the third and fourth processes for producing  
an optical wavelength converting device in accordance with  
the present invention, wherein the double-layered resist  
comprising the first resist layer and the second resist layer  
is employed, in cases where the ferroelectric substance has  
a step-like area and an area, to which the near field light  
cannot reach if only one resist layer is formed, occurs, the  
first resist layer can act to form a flat surface, and therefore  
the film thickness of the second resist, which is  
photosensitive and is formed on the first resist layer, can  
be uniformized. Accordingly, the near field light can be  
20 radiated out uniformly even in a large-area pattern, and a  
fine pattern of the second resist layer, which is  
photosensitive, can be formed. The first resist layer is then  
patterned with a conventional etching technique by utilizing  
the pattern of the photosensitive second resist layer as the  
25 mask. In this manner, a fine pattern can be formed easily and

at a low cost.

With the processes for producing an optical wavelength converting device in accordance with the present invention, wherein the mask provided with the metal pattern or the optical stamp provided with the concavity-convexity pattern is employed as the means, which receives the exposure light and produces the near field light in the periodic pattern, the advantages over the scanning exposure can be obtained in that the exposure of a large-area periodic pattern can be performed instantaneously, and therefore the optical wavelength converting device can be produced with a high throughput and at a low cost.

With the processes for producing an optical wavelength converting device in accordance with the present invention, wherein the periodic electrode having a markedly small line width is capable of being formed in the manner described above, the optical wavelength converting device comprising a crystal of a Z-cut plate of LiNbO<sub>3</sub> doped with MgO, in which the domain inversion regions are formed periodically in a bulk form in the crystal, can be obtained, wherein the domain inversion regions are formed with a period falling within the range of 1.0μm to 4.6μm, and wherein the optical wavelength converting device is constituted such that, when a fundamental wave having a wavelength falling within the range of 640nm to 940nm impinges upon the optical wavelength

converting device, the optical wavelength converting device  
radiates out a second harmonic having a wavelength falling  
within the range of 320nm to 470nm with the period of the domain  
inversion regions acting as the first-order period for the  
5 pseudo-phase matching.

As the optical wavelength converting device  
comprising a crystal of a Z-cut plate of LiNbO<sub>3</sub> doped with MgO,  
in which the domain inversion regions are formed periodically  
in a bulk form in the crystal, an optical wavelength converting  
device capable of radiating out a second harmonic having a  
wavelength of at most 470nm has not heretofore been furnished.  
Since the absorption end of MgO-LN is 320nm, it is practically  
impossible to radiate a second harmonic having a wavelength  
shorter than 320nm from the optical wavelength converting  
device.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figures 1A to 1G are schematic views showing steps  
in a first embodiment of the process for producing an optical  
wavelength converting device in accordance with the present  
20 invention,

Figure 2 is a schematic view showing a final step  
in the first embodiment of the process for producing an optical  
wavelength converting device in accordance with the present  
invention,

25 Figure 3 is a side view showing a solid laser, in

which an optical wavelength converting device obtained with  
the first embodiment of the process for producing an optical  
wavelength converting device in accordance with the present  
invention is employed,

5           Figures 4A to 4F are schematic views showing steps  
in a second embodiment of the process for producing an optical  
wavelength converting device in accordance with the present  
invention,

10           Figure 5 is a schematic view showing a step in a  
third embodiment of the process for producing an optical  
wavelength converting device in accordance with the present  
invention,

15           Figure 6 is a schematic view showing a step in a  
fourth embodiment of the process for producing an optical  
wavelength converting device in accordance with the present  
invention, and

20           Figure 7 is a graph showing results of evaluation  
of periodicity of various bulk-form periodic domain inversion  
structures, each of which is formed in a ferroelectric  
substance by the utilization of a periodic electrode having  
an electrode line width A, the evaluation being made with  
respect to various different values of a period  $\Lambda$  of domain  
inversion regions and various different values of a duty ratio  
D ( $D=A/\Lambda$ ).

DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention will hereinbelow be described in further detail with reference to the accompanying drawings.

Figures 1A to 1G and Figure 2 show steps of producing an optical wavelength converting device in a first embodiment of the process for producing an optical wavelength converting device in accordance with the present invention. Figures 1A to 1G show steps of forming a periodic electrode. Figure 2 shows a step of inverting a spontaneous polarization (domain) of a ferroelectric substance by utilizing the periodic electrode having been formed with the steps shown in Figures 1A to 1G.

How the periodic electrode is formed will be described hereinbelow with reference to Figures 1A to 1G. In this embodiment, MgO-LN is employed as the ferroelectric substance having nonlinear optical effects. Firstly, as illustrated in Figure 1A, a z-cut MgO-LN plate 10 is prepared. The MgO-LN plate 10 is subjected to single polarization, and the two z surfaces of the MgO-LN plate 10 are subjected to mirror polishing. The thickness of the MgO-LN plate 10 is thus set at 0.3mm.

Thereafter, as illustrated in Figure 1B, a resist layer 11 constituted of a photosensitive material is formed with a spin coating technique or a spraying technique on one surface (a +z surface) 10a of the MgO-LN plate 10. The

thickness of the resist layer 11 is set at a value approximately equal to or smaller than the oozing depth of near field light, which oozing depth is ordinarily approximately equal to 50nm.

Thereafter, as illustrated in Figure 1C, a mask 12 for generating the near field light in a periodic pattern is located such that the mask 12 is in close contact with the resist layer 11. The mask 12 comprises a mask substrate, which is constituted of a dielectric material, such as glass, and a lattice-like metal pattern, which has fine opening areas 12a, 12a, ... and is formed on the mask substrate. In this embodiment, as will be clear from the explanation made later, each of the opening areas 12a, 12a, ... of the metal pattern corresponds to one of electrode fingers of the periodic electrode to be formed, and each of metal areas 12b, 12b, ... corresponds to one of spaces between adjacent electrode fingers.

The mask 12 is located such that the opening areas 12a, 12a, ... of the metal pattern stand side by side with respect to a X axis direction of the MgO-LN plate 10. Also, a period  $\Lambda$  of the metal pattern of the mask 12 is set at a value of  $2.1\mu\text{m}$  so as to act as the first-order period with respect to a wavelength of 380nm of a second harmonic, which will be described later.

As illustrated in Figure 1D, exposure light L, such as i-rays (having a wavelength of 365nm), is then irradiated

from the rear side of the mask 12 (i.e., from the upper side in Figure 1D) to the mask 12. As a result, near field light Ln oozes from the opening areas 12a, 12a, ... of the metal pattern, and the resist layer 11 is exposed to the near field light Ln.

Thereafter, the resist layer 11 is developed with a developing solution, and the portions of the resist layer 11, which were exposed to the near field light Ln, become soluble in a developing solvent. In this manner, as illustrated in Figure 1E, a positive type of periodic pattern 11a of the resist layer 11 is formed. Thereafter, as illustrated in Figure 1F, the periodic pattern 11a is utilized as a mask, and chromium (Cr) 13 acting as an electrode material is deposited to a thickness of, for example, 20nm by vacuum evaporation. As a result, Cr 13 is deposited on a one surface 10a of the MgO-LN plate 10 and only at positions corresponding to opening areas of the periodic pattern 11a of the resist layer 11. In lieu of Cr 13 being deposited by vacuum evaporation, tantalum (Ta) may be deposited by a sputtering technique, or the like.

Thereafter, as illustrated in Figure 1G, the positive type of periodic pattern 11a of the resist layer 11 is removed by a lift-off technique, and a periodic electrode 13a having a period  $\Lambda$  of  $2.1\mu\text{m}$  is thereby formed on the one surface 10a of the MgO-LN plate 10. Since the mask 12 was

located as described above such that the opening areas 12a,  
12a, ... of the metal pattern stand side by side with respect  
to the X axis direction of the MgO-LN plate 10, the electrode  
fingers constituting the periodic electrode 13a stand side  
5 by side with respect to the X axis direction of the MgO-LN  
plate 10.

In this embodiment, the width of each of the opening  
areas 12a, 12a, ... of the metal pattern is set at a value  
of  $0.2\mu\text{m}$ , and therefore an electrode line width A of the  
periodic electrode 13a is set at a value of  $0.2\mu\text{m}$ . Accordingly,  
10 in this case, a duty ratio D ( $D=A/\Lambda$ ) of the periodic electrode  
13a is equal to 0.1. The value of the duty ratio D is lower  
than the value of 0.15 described above.

How the spontaneous polarization (domain) of the  
MgO-LN plate 10 is inverted by the utilization of the periodic  
electrode 13a will be described hereinbelow with reference  
15 to Figure 2. As illustrated in Figure 2, the MgO-LN plate 10  
is located on an electrically conductive jig 1 such that the  
periodic electrode 13a is in contact with the electrically  
20 conductive jig 1. The electrically conductive jig 1 is formed  
from an electrically conductive material, such as copper or  
stainless steel, and is grounded through a grounding wire 2.

Also, a corona wire 3 is located above a -Z surface  
10b of the MgO-LN plate 10, and a high voltage electric source  
25 4 is connected to the corona wire 3. In this state, an electric

field is applied through corona charge across the MgO-LN plate 10 by the utilization of the corona wire 3 and the high voltage electric source 4. At this time, the temperature of the MgO-LN plate 10 is set at 100°C, and the distance between the corona wire 3 and the MgO-LN plate 10 is set at 10mm. In this state, an electric voltage of 5kV is applied for one second from the high voltage electric source 4 via the corona wire 3. After the electric field has been applied, the periodic electrode 13a is removed from the MgO-LN plate 10.

A test was made for confirming the formation of domain inversion regions in the MgO-LN plate 10. In the test, the Y surface of the MgO-LN plate 10 was cut and polished. Thereafter, selective etching was performed by use of a mixed etching solution containing hydrofluoric acid and nitric acid. When the cross-section (the Y surface) of the MgO-LN plate 10 was observed, it was confirmed that periodic domain inversion regions were formed at positions corresponding to the positions of the electrode fingers of periodic electrode 13a and with the predetermined period corresponding to the period of the periodic electrode 13a. It was also confirmed that each of the periodic domain inversion regions was formed uniformly to extend from the -Z surface to the +Z surface and had uniform shape in the Y surface.

An optical wavelength converting device constituted of the MgO-LN plate 10 having been obtained in

the manner described above will be described hereinbelow with reference to Figure 3. In the manner described above, periodic domain inversion regions 21, 21, ... are formed, which stand side by side with respect to the X axis direction of the MgO-LN plate 10. Thereafter, the +X surface and the -X surface of the MgO-LN plate 10 are polished. Non-reflection coating layers are then formed on the +X surface and the -X surface of the MgO-LN plate 10, and light passage surfaces 20a and 20b are thereby formed. In this manner, a bulk crystal type of optical wavelength converting device 20 shown in Figure 3 is obtained.

As illustrated in Figure 3, the bulk crystal type of optical wavelength converting device 20 having the periodic domain inversion structure is located on an output side of an Ar laser pumped titanium sapphire laser 22. A laser beam 23 is produced by the Ar laser pumped titanium sapphire laser 22, converged by a converging lens 24, and caused to impinge upon the bulk crystal type of optical wavelength converting device 20. In this case, such that phase matching may be effected with respect to the fundamental wave having a wavelength of 760nm and the second harmonic having a wavelength of 380nm, with dispersion due to variation of the refractive index of the MgO-LN for different wavelengths being taken into consideration, the period  $\Lambda$  of the periodic domain inversion regions 21, 21, ... (which period is equal to the period of

the periodic electrode 13a) is set at a value of  $2.1\mu\text{m}$ .

The Ar laser pumped titanium sapphire laser 22 produces the laser beam 23 having a wavelength of 760nm as the fundamental wave. The output power of the Ar laser pumped titanium sapphire laser 22 is 400mW. The laser beam 23 impinges upon the bulk crystal type of optical wavelength converting device 20 and is converted into a second harmonic 25 having a wavelength of 380nm, which is one-half of the wavelength of the laser beam 23. The second harmonic 25 undergoes phase matching (i.e., the pseudo-phase matching) in the periodic domain inversion regions. As described above, the periodic domain inversion regions 21, 21, ... have good periodicity. Therefore, the phase matching is effected appropriately, and the second harmonic 25 with power of 0.5mW is obtained.

Steps in a second embodiment of the process for producing an optical wavelength converting device in accordance with the present invention will be described hereinbelow with reference to Figures 4A to 4F. In Figures 4A to 4F, similar elements are numbered with the same reference numerals with respect to Figures 1A to 1G.

Firstly, as illustrated in Figure 4A, the MgO-LN plate 10, which is of the same type as that employed in the first embodiment described above, is prepared. A Cr layer 30 having a thickness of 20nm, which acts as an electrode material

layer, a first resist layer 31 constituted of an organic high-molecular weight material, and a second resist layer 32 constituted of a photosensitive material are formed in this order on the one surface (+Z surface) 10a of the MgO-LN plate 10 and with a spin coating technique or a spraying technique. The first resist layer 31 and the second resist layer 32 constitute a double-layered resist 33.

Thereafter, as illustrated in Figure 4B, the mask 12, which is of the same type as that employed in the first embodiment described above, is located such that the mask 12 is in close contact with the double-layered resist 33. As in the first embodiment described above, the mask 12 having the metal areas 12b, 12b, ... and the opening areas 12a, 12a, ... of the metal pattern are located such that the opening areas 12a, 12a, ... stand side by side with respect to the X axis direction of the MgO-LN plate 10. Also, as in the first embodiment described above, as will be clear from the explanation made later, each of the opening areas 12a, 12a, ... of the metal pattern corresponds to one of electrode fingers of the periodic electrode to be formed, and each of metal areas 12b, 12b, ... corresponds to one of spaces between adjacent electrode fingers.

As illustrated in Figure 4C, the exposure light L, such as i-rays (having a wavelength of 365nm), is then irradiated from the rear side of the mask 12 (i.e., from the

upper side in Figure 4C) to the mask 12. As a result, the near field light Ln oozes from the opening areas 12a, 12a, ... of the metal pattern, and the second resist layer 32 is exposed to the near field light Ln.

5 Thereafter, the second resist layer 32 is developed with a developing solution, and the portions of the second resist layer 32, which were exposed to the near field light Ln, become soluble in a developing solvent. In this manner, as illustrated in Figure 4D, a negative type of periodic pattern of the second resist layer 32 is formed. Thereafter, as illustrated in Figure 4E, the periodic pattern of the second resist layer 32 is utilized as an etching mask, and the first resist layer 31 and the Cr layer 30 are subjected to dry etching with an O<sub>2</sub> plasma.

10 15 Thereafter, as illustrated in Figure 4F, the second resist layer 32 and the first resist layer 31 are removed, and a periodic electrode 30a constituted of Cr is thereby formed on the one surface 10a of the MgO-LN plate 10. Since the mask 12 was located as described above such that the opening areas 12a, 12a, ... of the metal pattern stand side by side 20 with respect to the X axis direction of the MgO-LN plate 10, the electrode fingers constituting the periodic electrode 30a stand side by side with respect to the X axis direction of the MgO-LN plate 10.

25 The quality of the first resist layer 31 does not

deteriorate due to exposure to light. Therefore, when the first resist layer 31 is dissolved, the first resist layer 31 and the second resist layer 32 can be removed easily. Alternatively, the first resist layer 31 and the second resist  
5 layer 32 may be peeled off with plasma ashing.

The photosensitive resist constituting the second resist layer 32 may be a positive type of resist having properties such that, when the resist is exposed to light, only the exposed portions of the resist becomes soluble in a developing solution. Also, the thickness of the second resist layer 32 should preferably be approximately identical with the oozing depth of the near field light or shorter than the oozing depth of the near field light.  
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Basically, as the organic high-molecular weight material constituting the first resist layer 31, one of various materials, which is capable of being etched with the O<sub>2</sub> plasma, may be employed.  
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In the manner described above, the periodic electrode 30a is formed on the one surface 10a of the MgO-LN plate 10. Thereafter, the spontaneous polarization (domain) of the MgO-LN plate 10 can be inverted by the utilization of the periodic electrode 30a. The domain inversion processing may be performed by using, for example, the apparatus shown in Figure 2.  
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25 The first resist layer 31 and the second resist layer

32 will hereinbelow be described in more detail.

The first resist layer 31 is formed from a material capable of undergoing dry etching, particularly an organic high-molecular weight material. The first resist layer 31 should preferably be formed from a material, which does not form an intermediately mixed layer with the second resist layer 32 overlaid on the first resist layer 31. Therefore, the first resist layer 31 should preferably be formed from an organic high-molecular weight material, which does not dissolve in the solvent employed in the second resist layer 32.

Alternatively, the first resist layer 31 should preferably be formed from an organic high-molecular weight material, which dissolves at normal temperatures in the solvent employed in the second resist layer 32, and which is capable of being converted with processing, such as heating, into a crosslinked network structure that substantially forms no intermediately mixed layer with the second resist layer 32.

As a technique for utilizing an organic high-molecular weight material, which is capable of being converted with processing, such as heating, into a crosslinked network structure, a technique may be employed, wherein a layer of a resist for i-rays or a resist for g-rays, which contains a novolak resin and a naphthoquinone diazide compound and is utilized for production of semiconductor devices, or the like, is applied to a necessary film thickness and is thereafter

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cured with heat treatment. Alternatively, a technique may be employed, wherein a layer of a negative type of resist, which contains an alkali-soluble resin, such as a novolak resin or a polyhydroxystyrene, an acid crosslinking agent, and a photo acid generating agent, is applied and is thereafter cured with entire-surface exposure to light. As another alternative, a technique may be employed, wherein a layer of a negative type of resist, which contains an alkali-soluble resin, such as a novolak resin or a polyhydroxystyrene, a polyfunctional monomer, and a photo-polymerization initiating agent or a thermal polymerization initiating agent, is applied and is thereafter cured with entire-surface exposure to light or with heat treatment.

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The first resist layer 31 may also contain various additives for various purposes, such as furalene and its derivatives.

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The second resist layer 32 is formed from a photosensitive resist material having properties such that, when the resist material is exposed to the near field light, only the exposed portions of the resist material or only the unexposed portions of the resist material become soluble in a developing solvent, and the other portions of the resist material have dry etching resistance. The resist material should preferably be a material, which contains a compound having silicon atoms and in which the proportion of silicon

in the solid content is equal to at least a predetermined value. In cases where the dry etching is performed with an oxygen-containing plasma, from the view point of oxygen plasma resistance, the proportion of silicon in the solid content in the resist material should preferably be comparatively high. However, ordinarily, if the proportion of silicon is markedly high, the pattern forming characteristics, edge roughness of the pattern or residues, and the like, will become bad. Therefore, the proportion of silicon in the solid content in the resist material should preferably be at least 1%, should more preferably fall within the range of 4% to 50%, and should most preferably fall within the range of 5% to 30%.

Examples of the resist materials, which may be employed for the second resist layer 32, include the resist materials described in Japanese Patent Nos. 2035509, 2094657, 2597163, 2606652, 2646241, 2646288, and 2646289; Japanese Unexamined Patent Publication Nos. 60(1985)-191245, 62(1987)-247350, 62(1987)-36661, 62(1987)-36662, 62(1987)-38452, 62(1987)-96526, 62(1987)-136638, 20 62(1987)-153853, 62(1987)-159141, 62(1987)-220949, 62(1987)-229136, 62(1987)-240954, 63(1988)-91654, 63(1988)-195649, 63(1988)-195650, 63(1988)-218948, 63(1988)-220241, 63(1988)-220242, 63(1988)-241542, 63(1988)-239440, 63(1988)-313149, 1(1989)-44933, 1(1989)-26 46746, 1(1989)-46747, 1(1989)-76046, 1(1989)-106042,

1(1989)-102550, 1(1989)-142720, 1(1989)-201653, 1(1989)-  
222254, 1(1989)-283555, 2(1990)-29652, 2(1990)-3054,  
2(1990)-99954, 3(1991)-100553, 4(1992)-36754, 4(1992)-36755,  
4(1992)-104252, 4(1992)-106549, 4(1992)-107460, 4(1992)-  
5 107562, 4(1992)-130324, 4(1992)-245248, 6(1994)-27670,  
6(1994)-118651, 6(1994)-184311, 6(1994)-27671, 6(1994)-  
35199, 6(1994)-43655, 6(1994)-95385, 6(1994)-202338,  
6(1994)-342209, 7(1995)-114188, 8(1996)-29987, 8(1996)-  
160620, 8(1996)-160621, 8(1996)-160623, 8(1996)-193167, and  
10(1998)-319594; Japanese Patent Publication Nos.  
6(1994)-7259, 6(1994)-42075, 6(1994)-56492, 6(1994)-79160,  
6(1994)-84432, 7(1995)-27211, 7(1995)-60266, 7(1995)-69610,  
7(1995)-99435, 7(1995)-111582, and 7(1995)-113772; U.S.  
15 Patent Nos. 4689289 and 4822716; EP No. 229629A1; and Japanese  
Patent Application Nos. 10(1998)-354878, 11(1999)-31591 and  
11(1999)-20224.

Among the above-enumerated resist materials for the  
second resist layer 32, materials capable of being developed  
with an aqueous alkali developing solution are preferable for  
20 the capability of forming a good pattern with high developing  
power such that no organic waste liquid occurs and little  
swelling occurs. Specifically, pattern forming materials,  
which contain a water-insoluble, aqueous alkali-soluble,  
silicon-containing polymer and a photosensitive compound, are  
25 preferable.

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More specifically, the following pattern forming materials are preferable: pattern forming materials, which contain a water-insoluble, aqueous alkali-soluble, silicone-containing polymer and a naphthoquinone diazide compound and/or a diazo ketone compound; positive types of pattern forming materials, which contain a water-insoluble, aqueous alkali-soluble, silicone-containing polymer, a compound capable of generating an acid with exposure to active light rays or radiation, and a high- or low-molecular weight compound having a group decomposable with an acid and having properties such that the solubility in an aqueous alkali developing solution increases by the action of an acid; negative types of pattern forming materials, which contain a functional group-containing, water-insoluble, silicone-containing polymer having a group decomposable with an acid and having properties such that the solubility in an aqueous alkali developing solution increases by the action of an acid, a compound capable of generating an acid with exposure to active light rays or radiation, and a high- or low-molecular weight compound having a group crosslinkable with an acid and having properties such that the solubility in an aqueous alkali developing solution decreases by the action of an acid; negative types of pattern forming materials, which contain a water-insoluble, silicone-containing polymer having an olefinically unsaturated group and having properties such

that the solubility in an aqueous alkali developing solution decreases through a polymerization reaction, and a compound capable of generating polymerization reaction initiating ability with exposure to active light rays or radiation; and  
5 negative types of pattern forming materials, which contain a water-insoluble, aqueous alkali-soluble, silicone-containing polymer, a compound capable of generating polymerization reaction initiating ability with exposure to active light rays or radiation, and a high- or low-molecular weight compound having an olefinically unsaturated group and having properties such that the solubility in an alkali developing solution decreases through a polymerization reaction.

Among the above-enumerated pattern forming materials, the pattern forming materials, which contain a water-insoluble, aqueous alkali-soluble, silicone-containing polymer, a compound capable of generating an acid with exposure to active light rays or radiation, and a high- or low-molecular weight compound having a group decomposable with an acid and having properties such that the solubility in an aqueous alkali developing solution increases by the action of an acid, are particularly preferable. Such pattern forming materials are described in detail in, for example, Japanese Patent Application No. 10(1998)-354878 with reference to the general formula, the explanation of the

general formula, and examples. In the second embodiment of the process for producing an optical wavelength converting device in accordance with the present invention, such types of the pattern forming materials can be employed appropriately.

5 Also, various additives capable of being added to the pattern forming materials are described in detail in Japanese Patent Application No. 10(1998)-354878. The additives can also be employed appropriately in the second embodiment of the process for producing an optical wavelength converting device in accordance with the present invention.

A third embodiment of the process for producing an optical wavelength converting device in accordance with the present invention will be described hereinbelow with reference to Figure 5. In the third embodiment, an optical stamp 40 is employed. The optical stamp 40 is constituted of a light-transmitting member, which is capable of transmitting the exposure light L and has a concavity-convexity pattern formed on one surface (the lower surface in Figure 5), and the near field light is capable of being radiated out from the concavity-convexity pattern. As illustrated in Figure 5, the optical stamp 40 is located such that the one surface provided with the concavity-convexity pattern is in close contact with the resist layer 11. When the exposure light L is introduced into the optical stamp 40 and caused to undergo total reflection from the one surface of the optical stamp

40, the near field light  $L_n$  is radiated out from the convex areas of the concavity-convexity pattern. In this manner, the resist layer 11 can be exposed to the near field light  $L_n$ .

In the third embodiment, after the resist layer 11 has been exposed to the near field light  $L_n$ , the development of the resist, the formation of the electrode, and the domain inversion processing may be performed, for example, in the same manner as that in the first embodiment described above. The optical stamp 40 has the advantages in that, since a metal is not used as in the aforesaid mask, the optical stamp 40 can be obtained at a low cost.

A fourth embodiment of the process for producing an optical wavelength converting device in accordance with the present invention will be described hereinbelow with reference to Figure 6. In the fourth embodiment, scanning exposure utilizing a probe 50 is performed. The probe 50 is provided with an opening having a diameter shorter than the wavelength of the exposure light and radiates out the near field light  $L_n$ . The probe 50 is driven by scanning drive means (not shown) to scan in a periodic pattern mode on the resist layer 11. In this manner, the resist layer 11 is exposed in the periodic pattern to the near field light  $L_n$ .

In the fourth embodiment, after the resist layer 11 has been exposed to the near field light  $L_n$ , the development of the resist, the formation of the electrode, and the domain

inversion processing may be performed, for example, in the same manner as that in the first embodiment described above.

The exposure system employed in the third embodiment or the fourth embodiment described above can also be employed in cases where the double-layered resist is employed as in the second embodiment described above.

In addition, all of the contents of Japanese Patent Application Nos. 11(1999)-241062 and 11(1999)-293802 are incorporated into this specification by reference.

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What is claimed is:

1. A process for producing an optical wavelength  
converting device having a periodic domain inversion  
structure, in which a periodic electrode is formed on one  
5 surface of a single-polarized ferroelectric substance having  
nonlinear optical effects, and an electric field is applied  
across the ferroelectric substance by the utilization of the  
periodic electrode in order to set regions of the ferroelectric  
substance, which stand facing the periodic electrode, as local  
area limited domain inversion regions, the process comprising  
the steps of:

i) forming a photosensitive resist layer on the one  
surface of the ferroelectric substance, the resist layer  
having properties such that, when light is irradiated to the  
resist layer, only exposed areas of the resist layer or only  
unexposed areas of the resist layer become soluble in a  
developing solvent,

ii) exposing the resist layer to near field light  
in a periodic pattern with means, which receives exposure light  
and produces the near field light in the periodic pattern,  
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iii) developing the resist layer, which has been  
exposed to the near field light, to form a periodic pattern  
in the resist layer, and

iv) forming the periodic electrode on the one  
surface of the ferroelectric substance by utilizing the  
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periodic pattern of the resist layer as a mask, the periodic electrode being formed at positions corresponding to opening areas of the mask.

2. A process for producing an optical wavelength  
5 converting device having a periodic domain inversion structure, in which a periodic electrode is formed on one surface of a single-polarized ferroelectric substance having nonlinear optical effects, and an electric field is applied across the ferroelectric substance by the utilization of the periodic electrode in order to set regions of the ferroelectric substance, which stand facing the periodic electrode, as local area limited domain inversion regions, the process comprising the steps of:

i) forming an electrode material layer on the one surface of the ferroelectric substance,

10 ii) forming a photosensitive resist layer on the electrode material layer, the resist layer having properties such that, when light is irradiated to the resist layer, only exposed areas of the resist layer or only unexposed areas of the resist layer become soluble in a developing solvent,

15 iii) exposing the resist layer to near field light in a periodic pattern with means, which receives exposure light and produces the near field light in the periodic pattern,

iv) developing the resist layer, which has been exposed to the near field light, to form a periodic pattern

in the resist layer, and

v) etching the electrode material layer by utilizing the periodic pattern of the resist layer as an etching mask, such that portions of the electrode material layer at positions corresponding to opening areas of the mask are removed by the etching, whereby the periodic electrode is formed.

3. A process for producing an optical wavelength converting device having a periodic domain inversion structure, in which a periodic electrode is formed on one surface of a single-polarized ferroelectric substance having nonlinear optical effects, and an electric field is applied across the ferroelectric substance by the utilization of the periodic electrode in order to set regions of the ferroelectric substance, which stand facing the periodic electrode, as local area limited domain inversion regions, the process comprising the steps of:

i) forming a first resist layer and a second resist layer in this order on the one surface of the ferroelectric substance, the first resist layer being removable by etching, the second resist layer being photosensitive and having properties such that, when light is irradiated to the second resist layer, only exposed areas of the second resist layer or only unexposed areas of the second resist layer become soluble in a developing solvent,

ii) exposing the second resist layer to near field light in a periodic pattern with means, which receives exposure light and produces the near field light in the periodic pattern,

5           iii) developing the second resist layer, which has been exposed to the near field light, to form a periodic pattern in the second resist layer,

10           iv) etching the first resist layer by utilizing the periodic pattern of the second resist layer as an etching mask to form a periodic pattern composed of the first resist layer and the second resist layer, and

15           v) forming the periodic electrode on the one surface of the ferroelectric substance by utilizing the periodic pattern, which is composed of the first resist layer and the second resist layer, as a mask, the periodic electrode being formed at positions corresponding to opening areas of the mask.

20           4. A process for producing an optical wavelength converting device having a periodic domain inversion structure, in which a periodic electrode is formed on one surface of a single-polarized ferroelectric substance having nonlinear optical effects, and an electric field is applied across the ferroelectric substance by the utilization of the periodic electrode in order to set regions of the ferroelectric substance, which stand facing the periodic electrode, as local

area limited domain inversion regions, the process comprising the steps of:

i) forming an electrode material layer on the one surface of the ferroelectric substance,

5 ii) forming a first resist layer and a second resist layer in this order on the electrode material layer, the first resist layer being removable by etching, the second resist layer being photosensitive and having properties such that, when light is irradiated to the second resist layer, only exposed areas of the second resist layer or only unexposed areas of the second resist layer become soluble in a developing solvent,

10 iii) exposing the second resist layer to near field light in a periodic pattern with means, which receives exposure light and produces the near field light in the periodic pattern,

iv) developing the second resist layer, which has been exposed to the near field light, to form a periodic pattern in the second resist layer,

20 v) etching the first resist layer by utilizing the periodic pattern of the second resist layer as an etching mask to form a periodic pattern composed of the first resist layer and the second resist layer, and

vi) etching the electrode material layer by utilizing the periodic pattern, which is composed of the first

resist layer and the second resist layer, as an etching mask, such that portions of the electrode material layer at positions corresponding to opening areas of the mask are removed by the etching, whereby the periodic electrode is formed.

5        5. A process as defined in Claim 3 or 4 wherein the second resist layer has a film thickness of at most 100nm.

10        6. A process as defined in Claim 3 or 4 wherein the first resist layer is formed from a non-photosensitive material, and the etching performed for the first resist layer is dry etching.

15        7. A process as defined in Claim 1, 2, 3, or 4 wherein the exposure light has a wavelength falling within the range of 250nm to 450nm.

20        8. A process as defined in Claim 1, 2, 3, or 4 wherein the means, which receives the exposure light and produces the near field light in the periodic pattern, is a mask comprising a light-transmitting member, which is capable of transmitting the exposure light, and a metal pattern, which has opening areas and is formed on the light-transmitting member, the near field light being radiated out from the metal pattern, and

25        the mask comprising the light-transmitting member and the metal pattern is located such that the metal pattern is in close contact with the resist layer, which is laid bare on the ferroelectric substance, or the metal pattern is located

close to the resist layer, which is laid bare on the  
ferroelectric substance, such that the near field light  
reaches the resist layer, which is laid bare on the  
ferroelectric substance, the exposure light being irradiated  
5 to the mask comprising the light-transmitting member and the  
metal pattern in this state.

9. A process as defined in Claim 1, 2, 3, or 4  
wherein the means, which receives the exposure light and  
produces the near field light in the periodic pattern, is an  
optical stamp constituted of a light-transmitting member,  
which is capable of transmitting the exposure light and has  
a concavity-convexity pattern formed on one surface, the  
optical stamp operating such that, when the exposure light  
is guided from within the light-transmitting member to the  
one surface of the light-transmitting member and is caused  
to undergo total reflection, the near field light in a pattern  
in accordance with the concavity-convexity pattern formed on  
the one surface of the light-transmitting member is radiated  
out, and

20 the optical stamp is located such that the one  
surface of the optical stamp provided with the concavity-  
convexity pattern is in close contact with the resist layer,  
which is laid bare on the ferroelectric substance, or the one  
surface of the optical stamp provided with the concavity-  
convexity pattern is located close to the resist layer, which

is laid bare on the ferroelectric substance, such that the near field light reaches the resist layer, which is laid bare on the ferroelectric substance, the exposure light being irradiated to the optical stamp in this state.

5           10. A process as defined in Claim 1, 2, 3, or 4 wherein the means, which receives the exposure light and produces the near field light in the periodic pattern, is a probe provided with an opening having a diameter shorter than a wavelength of the exposure light, the probe being caused to scan on the resist layer, which is laid bare on the ferroelectric substance, the exposure light being irradiated to the probe in this state.

10           11. A process as defined in Claim 1, 2, 3, or 4 wherein the ferroelectric substance is LiNbO<sub>3</sub> doped with MgO.

15           12. A process as defined in Claim 11 wherein the periodic electrode has an electrode line width of at most 0.3μm.

13. An optical wavelength converting device produced with a process as defined in Claim 1, 2, 3, or 4.

20           14. An optical wavelength converting device, comprising a crystal of a Z-cut plate of LiNbO<sub>3</sub>, doped with MgO, domain inversion regions being formed periodically in a bulk form in the crystal,

25           wherein the domain inversion regions are formed with a period falling within the range of 1.0μm to 4.6μm.

15. An optical wavelength converting device,  
comprising a crystal of a Z-cut plate of LiNbO<sub>3</sub>, doped with MgO,  
domain inversion regions being formed periodically in a bulk  
form in the crystal,

5           wherein the optical wavelength converting device  
is constituted to radiate out a wavelength-converted wave  
having a wavelength falling within the range of 320nm to 470nm.

16. An optical wavelength converting device,  
comprising a crystal of a Z-cut plate of LiNbO<sub>3</sub>, doped with MgO,  
domain inversion regions being formed periodically in a bulk  
form in the crystal,

wherein the domain inversion regions are formed  
with a period falling within the range of 1.0μm to 4.6μm, and

the optical wavelength converting device is  
constituted such that, when a fundamental wave having a  
wavelength falling within the range of 640nm to 940nm impinges  
upon the optical wavelength converting device, the optical  
wavelength converting device radiates out a second harmonic  
having a wavelength falling within the range of 320nm to 470nm  
20          with the period of the domain inversion regions acting as a  
first-order period for pseudo-phase matching.

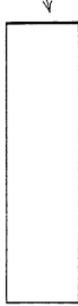
17. A solid laser, comprising an optical  
wavelength converting device as defined in Claim 13, the solid  
laser being constituted to convert a produced laser beam into  
25          its second harmonic and to radiate out the second harmonic.

18. A solid laser, comprising an optical wavelength converting device as defined in Claim 14, 15, or 16, the solid laser being constituted to convert a produced laser beam into its second harmonic and to radiate out the  
5 second harmonic.

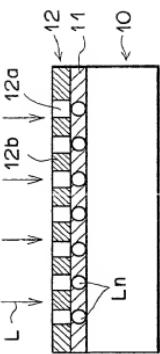
ABSTRACT OF THE DISCLOSURE

A photosensitive resist layer is formed on one surface of a single-polarized ferroelectric substance having nonlinear optical effects. The resist layer has properties such that, when light is irradiated to the resist layer, only exposed areas of the resist layer or only unexposed areas of the resist layer become soluble in a developing solvent. The resist layer is then exposed to near field light in a periodic pattern with a device, which receives exposure light and produces the near field light in the periodic pattern. The resist layer is then developed to form a periodic pattern. A periodic electrode is then formed on the one surface of the ferroelectric substance by utilizing the periodic pattern of the resist layer as a mask, the periodic electrode being formed at positions corresponding to opening areas of the mask. An electric field is applied across the ferroelectric substance by utilizing the periodic electrode to set regions of the ferroelectric substance, which stand facing the periodic electrode, as domain inversion regions.

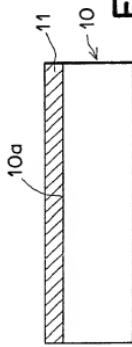
**FIG. 1A**



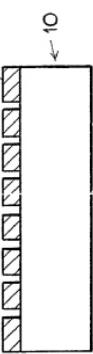
**FIG. 1D**



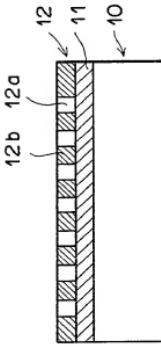
**FIG. 1B**



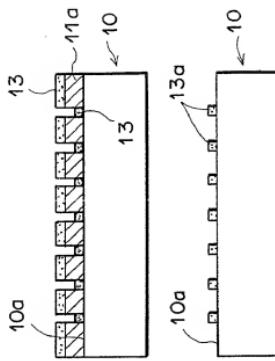
**FIG. 1E**



**FIG. 1C**



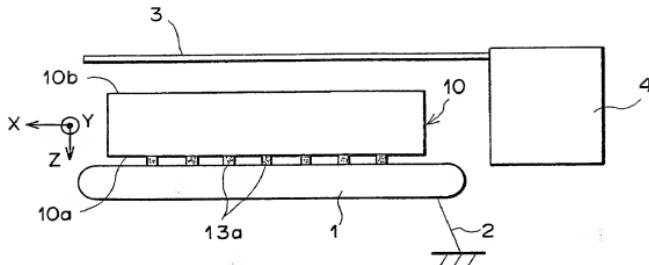
**FIG. 1F**



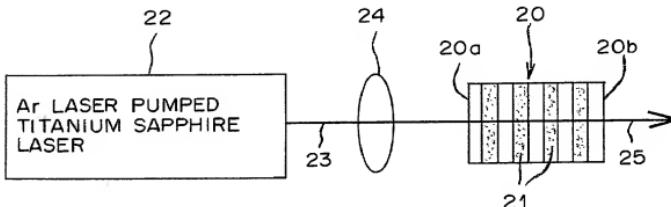
**FIG. 1G**



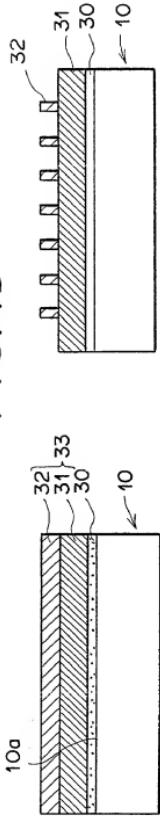
F I G . 2



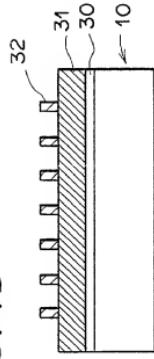
F I G . 3



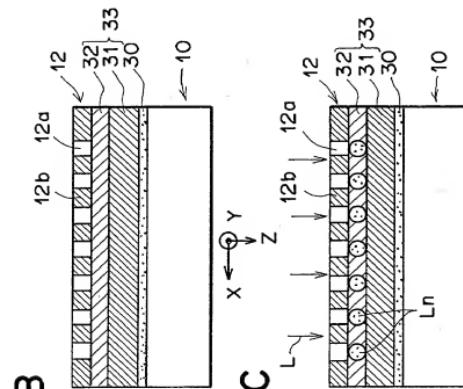
**FIG. 4A**



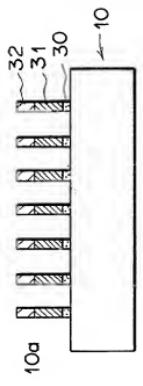
**FIG. 4D**



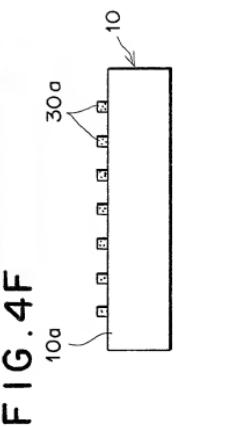
**FIG. 4C**



**FIG. 4E**

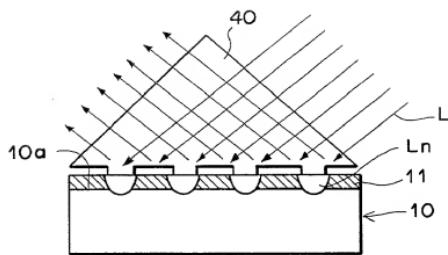


**FIG. 4C**

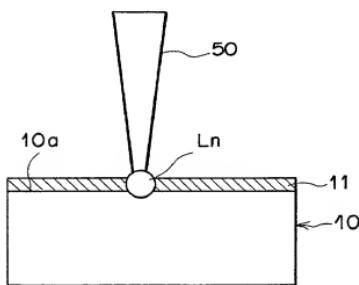


**FIG. 4F**

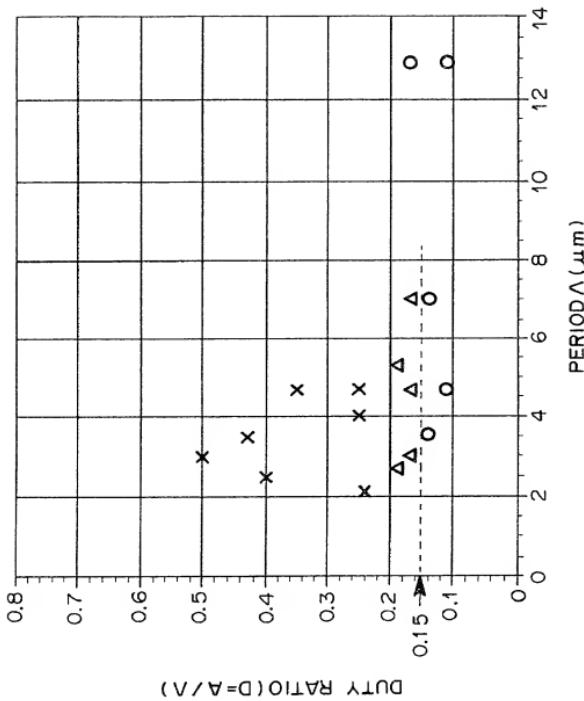
F I G . 5



F I G . 6



## FIG. 7



# Declaration and Power of Attorney for Patent Application

特許出願宣言書及び委任状

## Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

As a below named inventor, I hereby declare that:

Yasukazu Nihei and Masayuki Naya

My residence, post office address and citizenship are as stated next to my name, c/o Fuji Photo Film Co., Ltd., 798 Miyanodai, Kaisei-machi,

Ashigarakami-gun, Kanagawa-ken, Japan

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### "OPTICAL WAVELENGTH CONVERTING DEVICE AND PROCESS FOR PRODUCING THE SAME"

the specification of which is attached hereto unless the following box is checked:

was filed on \_\_\_\_\_  
as United States Application Number or  
PCT International Application Number

\_\_\_\_\_ and was amended on  
\_\_\_\_\_ (if applicable).

上記発明の明細書（下記の欄でX印がついていない場合は、本書に添付）は、

\_\_\_\_月\_\_\_\_日に提出され、米国出願番号または特許協定条約

国際出願番号を \_\_\_\_\_ とし、

（該当する場合） \_\_\_\_\_ に訂正されました。

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるところ、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

# Japanese Language Declaration

(日本語宣言書)

私は、米国法典第35編第119条(a)-(d)項又は第365条(b)項に基づき下記の、米国以外の國の少なくとも一ヵ国を指定している特許協力条約第365条(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

## Prior Foreign Applications 国外での先行出願

(patent) 241062/1999

(Number)  
(番号)

Japan

(Country)  
(国名)

(patent) 293802/1999

(Number)  
(番号)

Japan

(Country)  
(国名)

\_\_\_\_\_

(Number)  
(番号)

\_\_\_\_\_

(Country)  
(国名)

私は、第35編米国法典119条(e)項に基づいて下記の米国特許出願規定に記載された権利をここに主張致します。

\_\_\_\_\_

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

私は、下記の米国法典第35編第120条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約第365条(c)に基づく権利をここに主張します。又、本出願の各請求範囲の内容が米国法典第35編第112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内又は特許協力条約国際出願提出日までの期間中に入手された、通常規則法典第37編第1条第56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

私は、私自身の知識に基づいて本宣言中で私が行う表明が真実であり、かつ私の入手した情報と私の信ずるところに基づく表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により处罚されること、そしてそのような故意による虚偽の声明を行えば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed  
優先権主張なし

27/08/1999

(Day/Month/Year Filed)  
(出願年月日)

15/10/1999

(Day/Month/Year Filed)  
(出願年月日)

\_\_\_\_\_

(Day/Month/Year Filed)  
(出願年月日)

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

I hereby claim the benefit of Title 35, United States Code Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose any material information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Status: Patented, Pending, Abandoned)  
(現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned)  
(現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## Japanese Language Declaration

(日本語宣言書)

委任状：私は、下記の発明者として、本出願に関する一切の手続きを米国特許商標局に対して遂行する弁理士又は代理人として、下記のものを指名致します。（弁護士、又は代理人の氏名及び登録番号を明記のこと）

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (*list name and registration number*)

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(第三以降の共同発明者についても同様に記載し、署名をすること (Supply similar information and signature for third and subsequent joint inventors.)